IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yang et al.

Serial No: Not Yet Assigned

Filed: Herewith

For: Cell Regulatory Genes, Encoded Products, and

Uses Related Thereto

Atty Docket No.: HMV-038.04

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee," in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, an November 18, 2003

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Bv:

John Barretto

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (b)(3)

Sir:

Submitted herewith on Form PTO-1449 is a list of publications known to Applicants and/or their Attorney/Agent in compliance with the requirement of 37 C.F.R § § 1.56 and 1.97(c). Copies of some these publications were submitted in association with co-pending related application U.S.S.N.: 09/174,493, filed on October 15, 1998 and therefore are not required to be provided in this application. In particular, references cited herein as AA-AK were submitted as references AA-AK in the IDS filed on July 15, 1999 and references cited herein as AL-BO were submitted as references AA-BD in the IDS filed on September 12, 2002. Copies of publications (BP-BS) are provided herewith.

Applicants have cited for the Examiner's consideration certain issued U.S. patents and copending U.S. patent applications that are owned at least in part by the assignee of this application, that describe subject matter related to the present invention. The co-pending applications are listed herewith in accordance with M.P.E.P. 609 III.D which states: "Applicants may wish to list U.S. patent application numbers on other than Form PTO-1449 or PTO/SB/08A and 08B format to avoid the application numbers of pending applications being published on the patent. If a citation is not printed on the patent but has been considered by the examiner in accordance with this section, the patented file will reflect that fact as noted in subsection III.C(2) above."

No copies of the co-pending applications have been provided. If the Examiner wishes to have copies of the co-pending applications, Examiner should contact the Attorney of record. Applicants respectfully request that the Examiner consider these listed documents and indicate that each was considered by making appropriate notations on this Information Disclosure Statement.

Examiner Initials	Our docket no.	S.N.	Title	Filing Date	Status	Document Enclosed
	HMV-038.01	09/174,493	Cell Regulatory Genes, Encoded Products, and Uses Related Thereto	October 15, 1998	Pending	No.
	HMV-038.02	09/538,106	Cell Regulatory Genes, Encoded Products, and Uses Related Thereto	March 29, 2000	Pending	No.
	HMV-038.03	09/529,583	Cell Regulatory Genes, Encoded Products, and Uses Related Thereto	October 15, 1998	Pending	No.
Date Consid	lered:		Examiner	's Name:	1	1

Applicants have listed dates of publication on the attached Form PTO-1449 for the cited documents based on information presently available to the undersigned. However, the listed publication dates should not be construed that the information in the cited documents was actually published or otherwise publicly available on the date indicated.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO-1449.

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This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." Further, if the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Moreover, the Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Although we believe that we have provided for the fee due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Order Account No. 06-1448**.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1000.

Respectfully submitted,

s Walne

FOLEY HOAG LLP

Jennifer K. /Holmes

Reg. No. 46,778

Agent for Applicants

Dated: November 18, 2003

Customer No.: 25181

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